

## **Solicitation Policy for Financial Instruments**

We have set forth the following solicitation policy under the law regarding the provision of financial services and will endeavor to ensure the appropriate solicitations of financial instruments.

### **Solicitation Policy**

We will conduct appropriate solicitation in accordance with the following policies in the sale and other related activities of financial instruments.

1. We will endeavor to give appropriate solicitation and advice to our customers in light of customer's knowledge of financial instruments, investment purposes, investment experience, financial conditions, etc.
2. We will endeavor to ensure that our customers accurately understand important matters about financial instruments. We will also strive to explain the details of financial instruments and risks thoroughly and accurately so that our customers can make appropriate decisions on their own.
3. We will fully explain risks etc. to our customers and will not mislead them by providing false information, making definitive judgments, or providing incorrect information.
4. We will endeavor to solicit investment at appropriate time and locations and by proper methods to our customers.
5. We respect the privacy of our customers and protect their personal information.
6. We will strive to enhance our internal training and program, ensure that our employees gain professional knowledge, and improve our service to provide appropriate solicitation and advice to our customers.
7. We will endeavor to respond in a timely and appropriate manner to inquiries received from our customers. We will take feedback and requests from our customers seriously and apply them fully to our solicitation and advice.